

2186
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Docket No.: 42390P13769

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

ANDREW F. GLEW, ET AL.

Application No.: 10/041,071

Filed: December 28, 2001

For: **Authenticated Code Method and Apparatus**

Art Group: 2186

Examiner: Tuan V. Thai

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SEP 22 2004

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated July 15, 2004 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: September 14, 2004

~~Gregory D. Caldwell, Reg. No. 39,926~~

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Los Angeles, CA 90025
Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gayle M. Bekish

09-14-04

Date



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	10/041,071
		Filing Date	December 28, 2001
		First Named Inventor	Andrew F. Glew
		Art Unit	2186
		Examiner Name	Tuan V. Thai
Total Number of Pages in This Submission		Attorney Docket Number	42390P13769

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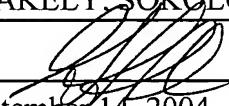
SEP 22 2004

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ENCLOSURES (check all that apply)

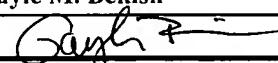
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; min-height: 40px;"> Copies of 8 Cited refs. Copy of PCT search rpt. Return postcard </div>
<div style="border: 1px solid black; padding: 5px; height: 40px; margin-top: 10px;"> Remarks </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 14, 2004

CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Gayle M. Bekish		
Signature		Date	September 14, 2004

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 06/04/2004.
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FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)
0.00

Complete if Known	
Application Number	10/041,071
Filing Date	December 28, 2001
First Named Inventor	Andrew F. Glew
Examiner Name	Tuan V. Thai
Art Unit	2186
Attorney Docket No.	42390PT13769

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)		(\$)			

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			20*	=	
			3	=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)			

*or number previously paid, if greater. For Reissues, see below

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid		
	1051	130		2051	65	Surcharge - late filing fee or oath			
	1052	50		2052	25	Surcharge - late payment of filing fee or cover sheet			
	2053	130		2053	130	Non-English specification			
	1812	2,520		1812	2,520	For filing a request for ex parte reexamination			
	1804	920*		1804	920*	Requesting publication of SIR prior to Examiner action			
	1805	1,840*		1805	1,840*	Requesting publication of SIR after Examiner action			
	1251	110		2251	55	Extension for reply within first month			
	1252	420		2252	210	Extension for reply within second month			
	1253	950		2253	475	Extension for reply within third month			
	1254	1,480		2254	740	Extension for reply within fourth month			
	1255	2,010		2255	1,005	Extension for reply within fifth month			
	1404	330		2401	165	Notice of Appeal			
	1402	330		2402	165	Filing a brief in support of an appeal			
	1403	290		2403	145	Request for oral hearing			
	1451	1,510		2451	1,510	Petition to institute a public use proceeding			
	1452	110		2452	55	Petition to revive - unavoidable			
	1453	1,330		2453	665	Petition to revive - unintentional			
	1501	1,330		2501	665	Utility issue fee (or reissue)			
	1502	480		2502	240	Design issue fee			
	1503	640		2503	320	Plant issue fee			
	1460	130		2460	130	Petitions to the Commissioner			
	1807	50		1807	50	Processing fee under 37 CFR 1.17(q)			
	1806	180		1806	180	Submission of Information Disclosure Stmt			
	8021	40		8021	40	Recording each patent assignment per property (times number of properties)			
	1809	770		1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))			
	1810	770		2810	385	For each additional invention to be examined (37 CFR § 1.129(b))			
	1801	770		2801	385	Request for Continued Examination (RCE)			
	1802	900		1802	900	Request for expedited examination of a design application			
	Other fee (specify)		No Fee				0.00		



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0.00

Complete if Known

Application Number	10/041,071
Filing Date	December 28, 2001
First Named Inventor	Andrew F. Glew
Examiner Name	Tuan V. Thai
Art Unit	2186
Attorney Docket No.	42390PI3769

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
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Multiple Dependent	3	=	

Large Entity		Small Entity		Fee Description	Fee Paid
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	1802	900		1802	900	Request for expedited examination of a design application	
	Other fee (specify)			No. Fee			0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

SUBMITTED BY

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature	COPY			Date	09/14/04

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 02/10/2004
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/041,071
Filing Date	December 28, 2001
First Named Inventor	Andrew F. Glew
Art Unit	2186
Examiner Name	Tuan V. Thai
Attorney Docket Number	42390P13769

U.S. PATENT DOCUMENTS

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SEP-2-2-2004

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FOREIGN PATENT DOCUMENTS

Examiner Signature	Date Considered
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional).See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possibleApplicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

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